



#112/305 1/8/03  
1/8/03

0101-137  
ESR:smt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
Richard Helferich )  
Serial No. 09/688,282 ) Examiner: Unassigned  
Filed: October 13, 2000 ) Group Art Unit: 2684  
For: SYSTEM AND METHOD FOR DELIVERING  
INFORMATION TO A TRANSMITTING AND  
RECEIVING DEVICE

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Technology Center 2600

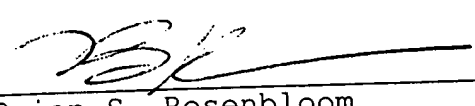
INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Under the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98,  
Applicant submits herewith copies of publications that the Office  
may wish to consider in examination of the subject application.  
The publications are listed on the attached form PTO-1449.

Respectfully submitted,

By   
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Enclosure(s): 14 Refs.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*Complete if Known*

Application Number	09/688,282
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Filing Date	October 13, 2000
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First Named Inventor	Richard J. HELFERICH
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Group Art Unit	2684
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Examiner Name	Unassigned
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Sheet

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of

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Attorney Docket Number

0101-137 Technology Center 2600

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	A	5,724,410		Parvulescu et al.	Mar. 3, 1998
	B	5,907,805		Chotai	May 25, 1999
	C	6,233,318		Picard et al.	May 15, 2001
	D	6,292,668		Alanara et al.	Sep. 18, 2001
	E	6,370,389		Isomursu et al.	Apr. 9, 2002
	F	5,239,466		Morgan et al.	Aug. 24, 1993
	G	5,557,659		Hyde-Thomson	Sep. 17, 1996
	H	5,751,793		Davies et al.	May 12, 1998
	I	6,259,892		Helferich	Jul. 10, 2001
	J	6,233,430		Helferich	May 15, 2001
	K	6,253,061		Helferich	Jun. 26, 2001
	L	6,097,941		Helferich	Aug. 1, 2000
	M	6,459,360		Helferich	Oct. 1, 2002
	N	6,462,646		Helferich	Oct. 8, 2002

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee of Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office <sup>3</sup> Code	Number <sup>4</sup>	Kind <sup>5</sup> (if known)			
Examiner Signature					Date Considered		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code. <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.